

# Chief Operating Officer

Peoria, Illinois

## Who We Are

David Vaughan Investments, LLC (DVI) is proud of its Associates. We are an exceptional team of investment professionals who are bright, creative, hardworking, and have a passion for what we do. Every day we focus on serving our valued clients' best interests, and it is all accomplished within a team-based approach where mutual respect and integrity serve at its core.

## Who We Want

Reporting directly to the President & CEO, the **Chief Operating Officer (COO)** has primary responsibility for administering all aspects of the operations of DVI's business, focusing on establishing and executing systems to execute the Firm's strategic plans. The Chief Operating Officer will develop concrete goals and systems to track progress toward those goals, coach team members in achieving their goals, and will serve as a thought partner with the President and other Senior Management Team members around operational efficiencies, business results and business opportunities.

## Qualifications

- + Master's degree in business administration preferred; minimum 10-15 years business experience in the professional services arena with a progressive record of increased management responsibility. Financial Service/Independent Wealth Management Industry experience strongly preferred.
- + Professional designations such as CFA, CFP, and/or CPA preferred
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- + Strong visionary leadership, organization, time management, change management, people management, customer service, analytical skills, quality management, problem-solving ability, team orientation, and strategic thinking skills. Exceptional written, oral, interpersonal, and presentation skills. Ability to operate as an effective tactical and strategic thinker.

## What To Expect

- + Oversight and responsibility for all operations, processes and procedures, and infrastructure that support DVI's ability to successfully provide investment advisory services on a day-to-day basis.
- + Ensure that the responsibilities, authorities, and accountability of all functional areas are defined and understood.
- + Assist in the development and monitoring of performance metrics that assess program efficiencies and the implementation of improvements.
- + Development and oversight of company operational procedures, policies, and standards in coordination with and input from the DVI Management Committee.
- + Develop and implement procedures and controls to promote communication and adequate information flow within the company.
- + Direct P&L Accountability

## About David Vaughan Investments

DVI is a team of 41 experienced investment professionals that provide asset management services and wealth management solutions to both high-net-worth individuals and institutional investors. For over 45 years, DVI has employed a Quiet Quality approach to investment management: people of integrity, acting solely in their clients' best interests. Currently, we have over 1,300 clients, and approximately \$4.5 billion in Assets Under Advisement through our offices in Peoria, Illinois, and Winter Park, Florida. In the fall of 2017, DVI formed a partnership with Morton Community Bank, creating one of the largest privately held financial institutions in downstate Illinois.

At DVI, we want to provide a working environment that is attractive to our entire team. Consistent with our long-term client focus, we aim to attract and retain the best Associates possible, and our benefits program is instrumental in this goal. We provide industry-competitive salaries and incentive plan opportunities, a generous profit sharing 401(k) plan, health insurance, life insurance, short-term and long-term disability programs, and paid time off programs. In addition, partnership status among our very top performers is also a realistic consideration.

If you appreciate a work environment that truly lives its values, please consider DVI as an employer that consistently provides *Quiet Quality*.

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## *Continued*

- + Oversight of Compliance and Risk Management, Technology, Human Capital, and Benefits
- + CEO Communication
- + Board of Managers responsibilities
- + Coordinate and manage strategic planning process.
- + Committee Assignments including:
  - Strategic Planning Committee (Chair)
  - Operating Committee (Chair)
  - Management Committee
  - Practice Management Committee
  - Compliance Committee
  - Internal Controls & Other Risks Committee
  - Information Technology Committee

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